



Buffalo Wealth Strategies
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You Are a Fiduciary - ERISA for Partners and Office Managers

Friday, October 27, 2017

12:30 p.m. – Lunch/Check-in

1:00 p.m. – 2:20 p.m. - Seminar

Bar Association of Erie County, 438 Main Street, Sun Room Auditorium, Buffalo

1.5 CLE credits: Areas of Professional Practice (Appropriate for all attorneys)

A special thank you to our sponsor, Buffalo Wealth Strategies, for providing lunch and covering registration costs!!



This program is geared toward partners, office managers or those operating in the ERISA market place - all those acting in a fiduciary capacity.

1:00 p.m. Department of Labor Employee Benefits Security Administration
- Review of DOL EBSA
- Update of new Fiduciary Rules governed by ERISA
- Review of ERISA Fiduciary checklist, proactive action steps and safeguards
Mary Rosen, *Lead Investigator, US Department of Labor*

1:40 p.m.
- Review of ERISA Fiduciary Guidebook
- Recap of next steps to ensure ERISA Compliance
- Lead discussion on how plan Fiduciaries can protect themselves and their plans
Christina L. Anstett, JD, *ERISA Director, Advanced Markets-401(k), AXA Retirement Plan Services, AXA Distributors, LLC*

2:20 p.m. Adjourn

Erie Institute of Law Registration Form – You Are a Fiduciary - ERISA for Partners and Office Managers

This program is FREE! Thank you to our generous sponsor, Buffalo Wealth Strategies

Course Material will be provided electronically. Please be sure to add your email address below. If you wish to receive a printed copy of the material, there is a \$5 fee. MasterCard, Visa, cash or checks payable to Erie Institute of Law acceptable. Thank you.

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Enclosed is my check in the amount of \$_____ Visa MC

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Accreditation: The Erie Institute of Law has been certified by the New York State Continuing Legal Education Board as an accredited provider of continuing legal education in the state of New York.

Cancellation Policy: If you are unable to attend a seminar for which you have already registered, call the CLE department at 852-8687.

Mail or Fax to: Erie Institute of Law, 438 Main Street, Sixth Floor, Buffalo, New York 14202, (716) 852-8687, Fax (716) 852-7641.